

PCT

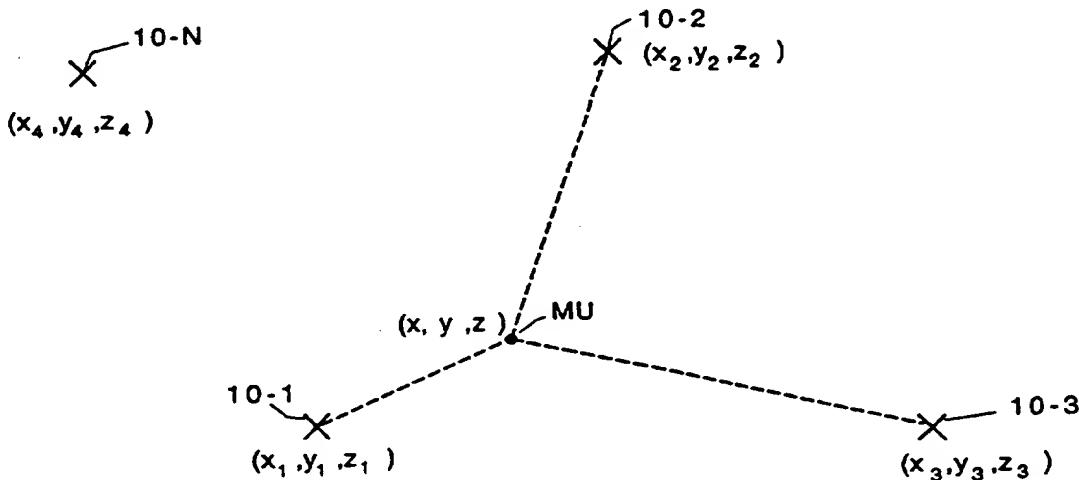
WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>H04L 27/30</b>		A1	(11) International Publication Number: <b>WO 96/26591</b> (43) International Publication Date: 29 August 1996 (29.08.96)
(21) International Application Number: <b>PCT/US96/02365</b>		(81) Designated States: AU, BR, CA, JP, KR, MX, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(22) International Filing Date: 23 February 1996 (23.02.96)		Published <i>With international search report.</i>	
(30) Priority Data: 08/393,410 23 February 1995 (23.02.95) US			
(71) Applicant: STANFORD TELECOMMUNICATIONS, INC. [US/US]; 1761 Business Center Drive, Reston, VA 22090 (US).			
(72) Inventors: SCHUCHMAN, Leonard; 11054 Seven Hill Lane, Potomac, MD 20854 (US). BRUNO, Ronald; 3202 North 4th Street, Arlington, VA 22203 (US). ENGELBRECHT, Lloyd; 1405 Newport Spring Court, Reston, VA 22094 (US). WEINBERG, Aaron; 12219 Doublewood Drive, Potomac, MD 20854 (US). SPILKER, James, J.; 85 Rowan Place, Woodside, CA 94062 (US).			
(74) Agent: ZEGEER, Jim; 801 North Pitt Street #108, Alexandria, VA 22314 (US).			

(54) Title: CHIRPED SPREAD SPECTRUM POSITIONING SYSTEM



(57) Abstract

Positioning system for locating a mobile body (MU) comprising a plurality of earth based spread spectrum (SS) broadcasting stations (10-1...10-N) arranged geographically in a cellular pattern. Each SS broadcasting station (10-1) includes a modulator providing a channel signal structure which is substantially orthogonal with respect to adjacent stations in the cellular pattern, each channel signal including navigation beacon data including a unique beacon identification, station latitude and longitude, time slot and phase stations. In one embodiment, each modulator provides a chirped SS signal in which the navigation beacon is a frequency tone that is repeatedly swept over a selected frequency band for each station. A receiver on the mobile body (MU) receives the SS signals from at least three of the SS broadcasting stations (10-1...10-N) and determines the location thereof. A fourth SS broadcasting station provides altitude. GPS satellite signals can be used for timing control.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Larvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

**CHIRPED SPREAD SPECTRUM POSITIONING SYSTEM****REFERENCE TO RELATED APPLICATION:**

This application is a continuation-in-part of application Serial No. 08/363,773 filed December 23, 1994 entitled "POSITION ENHANCED COMMUNICATION SYSTEM INCLUDING SYSTEM FOR EMBEDDING CDMA NAVIGATION BEACONS UNDER THE COMMUNICATIONS SIGNALS OF A WIRELESS COMMUNICATION SYSTEM", incorporated herein by reference.

**BRIEF DESCRIPTION OF THE INVENTION:**

Wireless communications are rapidly augmenting conventional telephone communications. For many types of wireless calls such as 911 or calls for roadside automotive repair/towing, knowing and conveying the location of the call origin is vital. However, since most users of wireless communications are mobile, their location is typically not known and can encompass a large uncertainty region. As shown in application Serial No. 08/363,773, assigned to the assignee hereof, there are several alternative systems for position location by mobile users, but none of these current systems are adequate for the wide variety of environments for wireless communications. By far the largest segment of mobile communications in the US is the current cellular voice system, and this system presents an opportunity to establish a cellular array of spread spectrum navigation beacons that can be used for position determination by users of cellular telephony and other public wireless services. This concept was described in the above-referenced patent application. The present

invention discloses about how a set of spread spectrum navigation beacons can be uniquely designed and arranged in a cellular pattern, and how the required navigation receiver signal processing can be efficiently integrated into a cellular phone via novel application of state-of-the-art technology. As shown later herein, the cellular array of navigation beacons can be a stand-alone navigation system, or it can be co-located and integrated with an existing or future cellular communications system.

**DESCRIPTION OF THE INVENTION:**

The object of the invention is to provide a system of spread spectrum navigation beacons arranged geographically in a cellular pattern that supports position determination at a mobile or portable cellular telephone or other wireless communications terminal.

The invention features the following:

- 1) In one preferred embodiment, the use of a set of chirped spread spectrum signals to comprise a cellular array of navigation beacons that is used for position location by mobile or portable terminals. The system of beacons may be a stand-alone system, or an overlay of a cellular communication system in which the beacons occupy the same spectrum as the communications system.

- 2) Chirped navigation beacons that use a common frequency, but are distinguished by different phase offsets of the chirp epoch relative to the 1 msec time epoch, and different sweep rates within a common frequency band. In the terrestrial environment, a unique phase offset in the chirp relative to the 1 msec epoch can provide a unique signature for a navigation beacon in a local geographical region composed of a number of otherwise identical beacons.
- 3) In a cellular communications system with a cellular positioning system (CPS) overlay, the provision of the cellular system control channels to convey the navigation "almanac" to mobile and portable users. The "almanac" is comprised of the data needed to convert a set of pseudorange measurements into a position, and includes a list of the cellular broadcast locations and a characterization of the navigation beacons that are broadcast from each location.
- 4) The use of NVRAM for the storage of the bulk of the "almanac" data which is unchanging except insofar as the cellular system and/or its navigation beacons are modified as part of system evolution.
- 5) Chirped Spread Spectrum (CSS) navigation beacons that are uniquely characterized in a local region by their assigned signal characteristics so that data modulation of the beacons is not required for beacon identification.
- 6) Frequency notching in a CSS navigation receiver to filter out the interference caused by the occupied narrow band

communications channels of a cellular communications system. In the chirped spread spectrum CSS receiver, the use of signal attenuation when the chirped spread spectrum CSS signal sweeps through the occupied communications channels as a novel implementation of frequency notching. The use of the cellular system broadcast control channels to convey knowledge of the occupied slots so that they may be notched from the receiver.

7) The fact that a common antenna and RF front end is applicable for both communications and navigation is a unique and novel feature of this invention.

8) The implementation of the cellular positioning system CPS navigation receiver/processor using time-domain and frequency-domain approaches.

#### DESCRIPTION OF THE DRAWINGS:

The above and other objects, advantages and features of the invention will become more apparent when considered with the following specification and accompanying drawings wherein:

**FIG. 1** illustrates a mobile terminal taking pseudo-range measurements to three base stations,

**FIG. 2** illustrates a cellular positioning system of seven navigation beacons (NBs) that cover a hexagonal region of cells having seven chirp phases of a single chirp signal,

**FIG. 3A** is a general block diagram of a stand alone base station used in this invention,

**FIG. 3B** is a block diagram of a chirped spread spectrum (CSS) embodiment base station used in this invention,

**FIG. 3C** is a block diagram of a cellular base station transmitter to which the navigation beacon signals of Fig. 3A and 3B has been added,

**FIG. 4** is a general block diagram of a cellular position system receiver used in this invention,

**FIG. 5** is a block diagram of a cellular position system processor with chirp signals, and

**FIG. 6** is a block diagram illustrating the time gated approach for frequency notching in a chirp receiver.

**DETAILED DESCRIPTION OF THE INVENTION:**

The system is illustrated in Figure 1 which shows a mobile user MU surrounded by base stations 10-1, 10-2, 10-3...10-N of a cellular communications system. At any point in time, the mobile unit MU tracks the navigation signals from at least 3 base stations and measures the pseudorange to each of them by processing their navigation beacons. Measurements from three base stations are required for a 2D solution and if a 3D solution is desired, then measurements from 4 base stations are required. This positioning system is referred to as the Cellular Positioning System (CPS). In the cellular positioning system CPS, each cellular base station (BS) broadcasts a spread spectrum navigation beacon (NB) whose frequency and timing are synchronized and slaved to GPS. The system of beacons may be a stand-alone system (Fig. 3A), or an overlay of a cellular communication system (Fig. 3C) in which the beacons occupy the same spectrum as the communications system. In such an overlay

system, the power of the spread spectrum signals described below can be set sufficiently low so as not to interfere with the communications channels, yet sufficiently high to support a good signal for a navigation receiver.

According to this invention, a chirped spread spectrum (CSS) signal in which the navigation beacon is a frequency tone that is repeatedly swept over a chosen frequency band (see FIG. 3B). A 10 MHz band with a period of 1 msec or greater are example parameters for a chirped signal. Depending upon the chosen CPS design, chirp navigation beacon signals may also incorporate different frequencies, sweep size, and sweep rate, and may support little or no data.

Moreover, an efficient set of navigation beacons for a cellular positioning system CPS must conform to a channel structure that supports orthogonal or near orthogonal beacons that do not significantly interfere with each other. The degree of orthogonality is important since within a cellular array of navigation beacons, when a user is near a cellular BS, the navigation beacon signal power from that station may be 30 dB or more stronger than the power of navigation beacon signals from far (i.e., adjacent) BSs. With complete orthogonality, the strong navigation beacon will never interfere with a weak navigation beacon complete orthogonality is needed to solve the near-far interference problem in the cellular positioning system

CPS. With less than complete orthogonality, however, the near-far interference is a problem whenever the near signal power is some threshold number of dB stronger than far navigation beacon signal.

In a cellular positioning system CPS, the same channels can typically be reused for beacons over a wide geographic region because range attenuation effectively provides separation between distant beacons that are using the same channel. Current and proposed cellular communications systems use frequency division, time division, code division and data/tone markers to create distinct channels.

The chirped spread spectrum CSS navigation beacons in a cellular positioning system CPS similarly can use frequency, time, sweep phase, sweep rate, and data to distinguish them from each other. The characterization parameters in a system of chirped navigation beacons are described in Table 1 below. There is much commonality with the DSSS navigation beacons (disclosed in application Serial No. 08/\_\_\_\_, filed concurrently herewith) but there are some significant differences that are noted.

**Table 1: Parameters of Chirped Navigation Beacons**

<i>time_slot of operation:</i>	same as for DSSS Navigation Beacons; chirps occupying different time slots are completely orthogonal.
<i>chirp sweep rate:</i>	Different sweep rates of chirped signals is one form of distinct modulation that can provide good orthogonality: for example, two chirped signals that occupy the same frequency and time slot, but with chirp periods of 1 msec and 2 msec respectively.

<i>phase of the chirp:</i>	the phase of the chirp at the msec time epoch is. NB signals may broadcast the same chirp but with sufficient phase offset to provide good orthogonality. The chirp phase of the NB may be in-phase (i.e., with the frequency minimum aligned to the 1 msec time epoch) or out-of-phase (i.e., delayed by some specified amount of time). Chirp signals that differ by a constant phase offset are orthogonal.
<i>frequency of the NB:</i>	roughly the same as for the DSSS; chirps at different frequencies are completely orthogonal.
<i>unique ID of the NB:</i>	Each NB may support 8-16 bits of data which will be a unique identifier of that NB in the local cellular region.

While the above parameters in Table 1 apply to the most general cellular positioning system CPS incorporating the invention, in any specific application, the use of this parameter set can be limited to a subset that fills out the defined cells with navigation beacons with suitable signal characteristics that uniquely define them (in a local region) and solve the near-far interference problem. In order to solve the near-far problem in a hexagonal cellular array, it is necessary to have at least 3 navigation beacon signals that are virtually completely orthogonal (i.e., separable by at least 60 dB) under all circumstances.

The parameters of Table 1 that characterize the chirped navigation beacons can be exercised to generate a suitable set of unique beacon signals for any reasonable cellular layout.

Table 2: Alternative Systems of CSS Navigation Beacons

System	Solve Near-Far Interference			Provide Unique Signature
	time slots	frequencies	chirp phases	
A	3	1	7	1
B	1	3	7	1
C	1	1	21	1
D	1	1	7	1
E	1	1	7	2

Table 3B illustrates a sample of CSS navigation beacon signal sets that satisfy the orthogonality requirements for solving the near-far interference problem and for uniquely identifying the beacons in their local environment. For three systems (A-C), 21 distinct navigation beacons are defined, for one system (D), only seven are defined, and for one (E) fourteen are defined. Note that for chirp signals, chirps of different phase are orthogonal. Thus different phases alone solve the near-far interference problem, and so in two systems (C and D), the navigation beacons are defined only by a distinct phase of the chirp. Figure 3 illustrates the allocation of 7 distinct chirp phases (as in System D of Table 2) over a hexagonal array. The seven phases are assigned to seven hexagons of a local cluster, and this pattern is replicated to fill an entire coverage area. In general, it can be shown that in a system of beacons distinguished only by chirp phase the following constraint must be satisfied in choosing the number of phase states and the period of the chirp for a given cellular coverage area:

$$\frac{D \cdot n}{C \cdot \tau} \ll 1$$

where,

$D$  = the diameter of cells

$n$  = the number of distinct phase states

$\tau$  = the period of the chirp, and

$C$  = the speed of light

### 3.0 Operational Scenarios of CPS Utilization

#### 3.1 A Stand-Alone CPS

A cellular positioning system CPS can be a stand-alone system, or

part of a cellular communications system or a combination of stand-alone stations and cellular sites with a positioning signal. In a stand-alone system (Figs. 3A-3B), the position is determined at a navigation receiver (NR) by acquiring, tracking and demodulating the navigation beacons. Accordingly, in a stand-alone system, all the navigation data required for position determination is broadcast by the navigation beacons. An example of the data that would be conveyed by each navigation beacon is illustrated in Table 3. Note that this information conveys the position of the BS as well as the signal parameters of the navigation beacon that is broadcast by that BS.

Table 3 BS Navigation Beacon Data	
Unique BS/Beacon ID	
Station Latitude (1 meter quantization)	
Station Longitude (1 meter quantization)	
Station Altitude (1 meter quantization)	
Time_Slot and Chirp	Phase and/or other characterization of NB
Selected Parameters of NBs of adjacent BSs	

In order to determine position, a navigation receiver NR needs to acquire and measure the pseudorange on at least 3 navigation beacons for a 2D solution (time plus lat/lon), and at least 4 navigation beacons for a 3D solution (time plus lat/lon/alt). In addition, the navigation receiver NR would also have to demodulate the data on each of the navigation beacons. When the navigation receiver NR has measured the required number of pseudoranges and has read the data on each navigation beacon, the position of the navigation receiver NR can be solved for. Excess

measurements can be used to generate added measurement precision and robustness via standard techniques for minimization of measurement variance and elimination of out of bounds measurements. The typical scenario for position determination for a stand-alone cellular positioning system CPS is as follows:

**Step 1:** At navigation receiver NR turn-on, the navigation receiver NR searches the signal parameter space, acquires the first navigation beacon, and measures the pseudorange. The navigation receiver NR then reads the data on the navigation beacon.

**Step 2:** With or without the aid of the data from the first acquired navigation beacon, the navigation receiver NR continues a search for subsequent navigation beacons from other BSs. The navigation receiver NR continues until it makes a pseudorange measurement and acquires the data from at least two additional navigation beacons broadcast from adjacent BSs.

**Step 3:** The navigation receiver NR computes a 2D or 3D position, depending upon the number of navigation beacons acquired and processed.

**Step 4:** The navigation receiver NR continues to acquire and track navigation beacons as the navigation receiver NR may move though the geographic region that is covered by the cellular positioning

system CPS, and it periodically recalculates the navigation receiver NR position according to some defined algorithm.

### 3.2 A CPS Embedded in a Cellular Telephone System

In a cellular positioning system CPS that is integrated with a cellular communications system, the navigation beacons may share the same spectrum as the communications systems (as described in the above-referenced patent application). In addition, much or all of the required navigation data may be conveyed by the broadcast control channels of the communications system. Thus, in a cellular positioning system CPS integrated with a communications system the data supported by each navigation beacon is minimal: at most an 8-16 bit identifier that is unique within the local cellular region. The rest of the navigation data needed is transmitted by the cellular control channels that are broadcast from each cellular BS. The scenario for position determination in this combined communications-navigation system is described below via the operation of a cellular phone and a phone navigation receiver NR. For illustration purposes, the set of navigation beacon signals illustrated as System A in Table 2 is assumed.

**Step 1. Comm Initialization via Listening to the Cellular Control Channel:** At the start of this scenario, the phone is just turned on. The phone searches for, finds and listens to a cellular communications control channel and initializes itself according

to the applicable cellular communications standard or protocol.

**Step 2. Nav Initialization via Listening to the Cellular Control Channel:** In listening to the control channel, the phone also initializes with respect to cellular navigation. When this task is complete, the phone has determined that it is near a specified base station, and has acquired the lat/lon/alt/chirp\_phase/time\_slot for all the base stations in the local environment. This data is conveyed via navigation overhead messages that are broadcast on each control channel; these overhead messages contain a data set as illustrated in Table 3, but also include the data for all of the adjacent cellular BSs as well as an issue number that will change with cell system evolution, and the spectral occupancy of communications channel broadcast by the BS. This information will be stored in non-volatile random access memory (NVRAM) (Fig. 4) so that the data is preserved from call to call. The NVRAM is of sufficient size so the IDs and locations of a reasonable sized region of cells can be stored. Every time the phone is in an initialization state, the NVRAM is updated so that the NVRAM always stores the most current broadcast of the cellular navigation parameters. Thus, in making a call for which position is desired, the parameters of the local navigation beacons will be known (assuming that the received "issue number" in the navigation data matches the "issue number" of the data stored in NVRAM for that cellular base station). If the received unique ID and issue number do not

both match a value stored in NVRAM, then the navigation data would need to be collected before the positioning call could proceed. As the phone explores new territory, the list of cell base station locations would expand to fill the NVRAM. For a phone that covers a great deal of territory, new locations would be written over old locations whenever the capacity of the NVRAM is reached. This navigation data that is stored in the NVRAM is referred to as the navigation almanac.

**Step 3. Search and Acquisition of a Navigation Beacon (NB):** This step can be done in parallel with Step 1 and Step 2. Every cellular base station transmits a navigation beacon with a set of signal parameters designated in the communication broadcast control channel. The navigation receiver NR of the phone searches various time slots and chirp phase states for a navigation beacon that is strong enough to acquire the chirp and possibly to read a "unique ID" that is coded onto the navigation beacon. When this task is completed, the phone navigation receiver NR has made a pseudorange measurement on the navigation beacon and has reached synchronization with the navigation system, meaning that it now has an absolute reference for both time\_slot and chirp\_phase. In the local environment, each navigation beacon is uniquely specified by the time\_slot/code\_phase pair so that additional navigation beacons can be rapidly acquired, and the identity of each navigation beacon is known a priori (thus the data on these beacons does not

need to be read in order to determine the identity of the navigation beacon).

**Step 4. Search and Acquisition of Other Navigation Signals:**

Having achieved synchronization with chirp\_phase and time\_slot of a navigation signal in Step 3, the phone navigation receiver NR looks up (in the NVRAM) the chirp\_phase and time\_slot of other navigation beacons in the local environment; the phone navigation receiver NR then proceeds to acquire these signals and make pseudorange measurements. Note that since chirp\_phase and time\_slot uniquely specify the navigation beacon in its local environment, the data on these navigation beacons is not read. Note also that acquisition of navigation beacons in this Step may be aided by using the data on the spectral occupancy of communications channels via insertion of frequency notches in the navigation receiver NR to reduce the interference that is created by a strong communications signal (from a nearby cellular base station) on a weak navigation signal (broadcast by a distant base station). Such notching would typically be required for the AMPS and TDMA cellular systems in which the communications channels are contained in 30 KHz frequency slots that are dispersed throughout the cellular allocation. In a Q-CDMA system, such frequency notching would not be required. If pseudorange measurements on at least 2 other navigation beacons are made, the phone navigation receiver NR can proceed to Step 5.

**Step 5. Calculation of Position:** With a total of 3 or more

pseudorange measurements, the navigation receiver NR can generate a 2D solution, solving for navigation receiver NR time and location (lat/lon). With a total of 4 or more pseudorange measurements, the navigation receiver NR can generate a 3D solution, solving for time and location (lat/lon/alt). Excess measurements can be used to generate added measurement precision and robustness via standard techniques for minimization of measurement variance and elimination of out of bounds measurements. When Step 5 is completed, the phone displays a "position fixing" indicator analogous to the roaming indicator. The "position fixing" indicator will tell the user that the phone knows its position at that particular moment in time.

**Step 6. Recalculation of Position:** In the idle state, the phone will continue to listen to the communications control channel. During this time, the phone navigation receiver NR may or may not (e.g., where power is scarce) continue to operate. In general, the phone navigation receiver NR will recalculate its position according to a programmed algorithm. Recalculation could be done continuously, or in response to an expired time or event as described below:

continuous recalculation:	With continuous recalculation, the NR stays on and continuously recalculates its position. This algorithm would be suitable for applications that have sufficient power to support the continuous operation of the phone NR.
------------------------------	--

periodic recalculation: In this mode, recalculation is initiated periodically after the expiration of a set amount of time; thus, the phone NR would normally be in a power conserving sleep mode, but would wake up periodically (e.g., every 5 minutes) in order to recalculate its position. The time interval between wake-ups would be programmed by the phone user.

recalc on control channel turnover: In this mode, the NR would normally be in a power conserving sleep mode, but would wake up whenever the phone receiver changes the control channel that it listens to; this turnover in control channels occurs whenever the signal strength of the initial control channel fades down to a specified level and the phone searches for and locks onto a stronger control channel.

#### 4.0 Operational Scenario of CPS-Cellular Communications

##### Coordination for Call Processing

At the start of this scenario, it is assumed that the phone and phone navigation receiver NR has completed the Steps 1 to 3 that are described above. At the completion of Step 3, the phone displays a position fixing indicator that tells the phone user that the phone is ready and prepared to make a "position enhanced" (PE) phone call. The call processing that then takes place is as follows:

**Step 1. Initiating the Call:** The phone user initiates a PE phone call in the same manner as normal calls (nominally by dialing the number and pressing the Send key). Depending upon the way positioning service is used, the phone user may convey a desire for a PE call via the pressing of some specified key combination. For 911 calls, a PE call would be the default.

**Step 2. Phone Response:** In response to the user call initiation, the phone seizes the access control channel and sends a digital message in accordance with cellular system specifications. This message contains the phone electronic serial number (ESN), the user mobile phone number (MIN), and other such data. In a PE phone call, the digital message would contain an additional cellular control word that conveys the lat/lon of the phone location in accordance with a standard compressed format. For example, to convey the lat/lon of the phone (with a 10 meter quantization) relative to the lat/lon of the base station would require about 24 bits.

**Step 3. MTSO Response.** With the completion of Step 2, the MTSO has the location of the phone prior to call setup, and can therefore use this information in call processing. Thus, in a 911 call, the MTSO could use location knowledge to find the appropriate emergency service center for the phone location, and then route the call and the location data to that emergency service center. For PE calls other than 911, the MTSO would also send the location of the calling phone to the call destination. This can be accomplished in-band via modem (in accordance with an established standard) or out-of-band via SS7.

**Step 4. Call Servicing:** Call servicing of a "one-shot" positioning call proceeds the same as a normal cellular call. In response to the request by the phone, the MTSO assigns an

available voice channel to the phone via a message on the control channel; the phone then switches to that channel while the MTSO proceeds to patch the call through to the dialed number. However, with "continuous" position fixing during a call, the phone navigation receiver NR must continue to measure pseudoranges on all the navigation beacons to update the location estimate of the phone. In order to do this as the phone moves through cell during the call progress, it is clear that the phone will need to continue to receive a control channel in order to maintain the navigation data it needs to acquire and track the navigation beacons. The process for a "continuous" position fixing call is described in the succeeding steps.

**Step 5: Call Servicing for "Continuous" Position Fixing:** In this mode, the phone navigation receiver NR must continue to receive and monitor a control channel, since the ability to position fix depends upon the navigation overhead data that is broadcast on the control channels. Thus, as phone moves through cells during a call, the phone must continue to monitor control channels and switch to a stronger one as required in order to maintain a current file of the navigation data. As it does this, the phone navigation receiver NR continues to calculate its position. Each position update may then be periodically sent to the MTSO via a specially-defined message on the voice control channel. Alternatively (or in addition), the message can be sent in-band via a simultaneous "data-in-voice" modem. The continuous

monitoring of a control channel after switching to a voice channel is a departure from normal cellular telephony operations, but it is not inconsistent with such operations, and may even provide telephony benefits. For example, if the cellular telephone continues to monitor a control channel, the MTSO has a means to offer such services as "call waiting" by alerting a phone via the control channel that a call is being placed to their busy number.

#### 5.0 Receiver Processing

This section presents a description of selected embodiments of a navigation receiver signal processor. While novel features will be explicitly identified, it should be emphasized that other embodiments would also be included as part of this invention. An overview of receiver processing, within the user's unit, is shown in Figure 4. Note that the user's unit may be a cellular car phone, portable phone, or other receiving device that may, for example, operate in a general PCS environment. As seen in Figure 4, the composite incoming RF signal is received by a single antenna (AN) and is amplified and conditioned by a single RF front end (RFE) (e.g., Low Noise Amplifier). It should be emphasized that the composite incoming signal, which includes the combination of communication-channel and control-channel signals, typically spans tens of MHz (e.g., > 20 MHz for cellular). The fact that a common antenna AN and RF front end RFE is applicable for both communications and navigation is a

unique and novel feature of this invention.

As further seen in Figure 4, following the RF front end RFE, the signal is split SPL into two parts. One path goes to the communications/control-channel CPCR processing portion of the device, and the other path goes to the navigation portion NP of the device. In the present discussion, only the navigation portion NP of the device is described in detail, but as seen, the navigation processing requires "notching" data that is provided by the Control Channel (CC). This "notching" aspect was addressed and is expanded upon further below.

As further shown in Figure 4, the signal output of the RF front end RFE is downconverted via mixer MX, and a single fixed downconversion frequency, to a convenient intermediate frequency (IF). While a single mixing stage is shown, this invention encompasses the more general case of one or multiple downconversion stages. The IF signal is filtered by Bandpass Filter BPF, whose bandwidth is approximately 10 Mhz, to accommodate a 10 MHz chirped spread spectrum signal.

The BPF is followed by the following unique system elements:

1. The Cellular Positioning System CPS Processor, CPSP, which is amenable to a miniaturized/low-power implementation, executes all

the required signal processing functions on the chirped or spread-spectrum signals of interest, to enable highly accurate navigation. The outputs of the CPSP block are the relative chirp timing epochs of each of the cellular base station BS spread-spectrum signals being tracked; as discussed earlier, up to seven such signals may be tracked for a typical hexagonal cellular configuration. Also, as discussed in earlier sections, a minimum of three "high quality" signals must be tracked to enable a highly accurate 2D navigation solution. As such, considerable diversity and robustness is built into this navigation approach.

2. The timing and other data output from the CPSP is fed into the Navigation Processor block NP, which converts the relative timing information from CPSP into actual user position (e.g., latitude/longitude).

A preferred embodiment of the CPSP block for CSS navigation beacons is illustrated in Figure 5. It should be emphasized, however, that other embodiments that may exist would also be included in this invention.

#### CPS Processor (for CSS Navigation Beacon)

A comparable processing chain for CSS beacons is illustrated in Figure 5. The CSS beacons are assumed to have a period of 5 msec

during which they sweep over 10 MHz of frequency. In this implementation, the key features of the signal processing are as follows:

1. The signals enter at RF and are mixed with the output of a synthesizer SYN. The synthesizer SYN produces a frequency staircase at 10 KHz steps (each lasting 5  $\mu$ sec). Thus, in 5 msec (1000 stairs), the synthesizer moves over 10 MHz of frequency. The nominal intermediate frequency (IF) resulting from the mixing process is 70 MHz. In general, there will be a number of chirped navigation signals arriving at the navigation receiver, along with a number of narrowband signals of the communications system. At the outset, the navigation receiver conducts a search to first acquire a strong navigation signal. The process by which this is done is a "largest of" detection algorithm based upon a search over all phases of chirp. This process of acquisition and tracking of the first signal is described in items 2 and 3 below. The acquisition and tracking of subsequent navigation beacons is described in item 4 below.
2. During acquisition, the output of the mixing process due to a received chirped navigation beacon is a series of short chirps each with a duration of 5  $\mu$ sec. If the synthesizer is roughly in phase with the navigation signal, the chirps will be within 10 KHz of the 70 MHz IF. If the synthesizer is out of phase with the incoming chirped signal, the chirps after the mixing process can be up to  $\pm$  MHz offset from the 70 MHz IF. The CCD sampling rate is 20 MHz and the CCD has fixed binary tap weights so that

alternate output samples of the CCD are a moving sum of the in-phase (I) and quadrature (Q) components of the input signal. The moving sum over 5  $\mu$ sec is essentially a low-pass filter with a first null out at 200 KHz. The output of the CCD is then sampled by the A/D stage of the receiver at 5  $\mu$ sec intervals (after each frequency stair). Thus the sampling rate of the A/D for I/Q pairs is 200 KHz, and further processing by the navigation receiver is all digital.

a. Following the A/D step, the signal is further accumulated coherently for 0.1 msec which is 20 samples of the CCD; with this step, the filter bandwidth of the receiver has been collapsed down to 10 KHz to the first null. The maximum allowable time for coherent accumulation is controlled by the drift in the local oscillator (that is driving the synthesizer) relative to the incoming received navigation signal. If the local oscillator (LO) is accurate to  $\pm 1$  part in a million, the relative frequency drift for a 900 MHz navigation signal will be about  $\pm 900$  Hz. Over 0.1 msec, a 900 Hz offset will result in about a  $30^\circ$  drift in the phase of the I and Q samples. For drifts larger than this, cancellation among the accumulated samples will occur.

b. This coherent accumulation is followed by envelope accumulation for 5 msec (50 coherent accumulation intervals); this value is then dumped and stored in memory. This value stores the detection statistic for a search of an incoming chirp signal with a bandwidth that is roughly within 5 KHz of the chirp

that is generated by the synthesizer.

c. At this point, the timing of the frequency staircase is advanced by 2.5  $\mu$ sec and the accumulation resumes again for 5 msec; the resulting output is again dumped to memory. The effect of the 2.5  $\mu$ sec advance in the phase of the synthesizer is a shift in the frequency search bandwidth of 5 KHz.

d. This process continues for 2000 iterations so that all phases of the code are explored with a quantization of 2.5  $\mu$ sec in time and 5 KHz in frequency (e.g.,  $2000 \times 5 \text{ KHz} = 10 \text{ MHz}$ ); the largest of the 200 iterations is chosen, and with this detection, the phase of the strongest chirped navigation signal has been acquired. The estimated acquisition time for this first signal is thus  $2000 \times 5 \text{ msec}$  or about 10 seconds.

3. During tracking, the control of the synthesizer is turned over to a tracking loop. As in acquisition, the CCD output is a series of I/Q pairs at a 200 KHz rate. The DSP then implements an accumulator over a time interval of from 1 msec to 10 msec which corresponds to a tracking bandwidth of 1000 Hz and 100 Hz, respectively. The tracking accurate ( $\Delta x$ ) is dependent upon the resolution of the frequency tracking bandwidth and is governed by the following formula:

$$\Delta x = \frac{\tau \cdot c}{f_r} \Delta f = 0.15 \frac{\text{meters}}{\text{Hz}} \cdot \Delta f$$

where,

$\Delta f$  = frequency resolution

$\tau$  = the period of the chirp = 5 msec

$c$  = the speed of light, and

$f_r$  = chirp swing = 10 MHz

Thus, a 100 Hz resolution corresponds to a 15 meter accuracy for the pseudorange tracking measurement. However, multipath delays of up 1  $\mu$ sec (300 meters) can be expected; thus, at times this will create a broadening of the frequency on the order of 1000 Hz. Thus, it is necessary to have an adaptive tracking loop that spans the frequency band created by the multipath.

4. Upon acquisition and tracking of a first navigation signal, the parallel receiving channel in Figure 5 begins operation. The acquisition and tracking for subsequent navigation signals is similar to that of the first navigation signal, but there are some significant differences. Firstly, with the acquisition of a first navigation signal, the search space for the chirp phase is reduced by about a factor of 10 so that subsequent acquisitions are accomplished in about 1 second. In addition, there is a reasonable chance that the subsequent navigation signals will be interfered with by strong narrowband communications signals from a nearby cell site. In this case, this interference must be mitigated by a time-gated switch that eliminates this interference by nulling out the received signal when the synthesizer passes through the occupied narrowband communications channels. In this manner, the communications channels can be attenuated by 40 dB or more, and allow the reception of much weaker navigation signals from more distant cell site. To achieve this nulling, a sliding window of FIR filter is implemented that has a sharp roll-off so that interfering signals that fall outside the band are greatly attenuated; when the

interfering signals cross the FIR bandwidth, the time gate nulls out the incoming signal. Thus the FIR filter, in combination with nulling at the appropriate times, effectively and dramatically reduces the interference resulting from strong communications signals during both acquisition and tracking. This concept is illustrated in the functional receiver design shown in Figure 6. The wideband chirped signal and the narrowband interferer from wideband filter WF are correlated in correlator COR with signals from chirp generator CG (with frequency offset) and under control of accumulator/detector ACD. An electronic switch or time gate ES is opened when the interferer crosses the passband frequency. The correlation peak of the incoming chirp and the mooring peak of the interfering signals are shown in the inset in the lower right side of Figure 6.

**SUMMARIZING THE INVENTION HAS THE FOLLOWING NOVEL FEATURES:**

The use of a set of direct sequence spread spectrum signals (with properties described by time slot of operation, specific PN code, PN code phase, and carrier frequency) to comprise a cellular array of navigation beacons that is used for position location by mobile or portable terminals. The system of beacons may be a stand-alone system, or an overlay of a cellular communications system in which the beacons occupy the same spectrum as the communications system.

The use of a set of chirped spread spectrum signals to comprise a cellular array of navigation beacons that is used for position location by mobile or portable terminals. The system of beacons may be a stand-alone system, or an overlay of a cellular communication system in which the beacons occupy the same spectrum as the communications system.

The use of chirped navigation beacons that use a common frequency, but are distinguished by a different phase offsets of the chirp relative to the 1 msec time epoch, and different sweep rates within a common frequency band. In the terrestrial environment, a unique phase offset in the chirp relative to the 1 msec time epoch can provide a unique signature for a navigation beacon in a local geographical region composed of a number of otherwise identical beacons.

In a cellular communications system with a cellular positioning system CPS overlay, the use of the cellular system control channels to convey the navigation "almanac" to mobile and portable users. The "almanac" is comprised of the data needed to convert a set of pseudorange measurements into a position, and includes a list of the cellular broadcast locations and a characterization of the navigation beacons that are broadcast from each location.

The use of NVRAM for the storage of the bulk of the "almanac" data which is unchanging except insofar as the cellular system and/or its navigation beacons are modified as part of system evolution.

The use of DSSS or chirped spread spectrum CSS navigation beacons that are uniquely characterized in a local region by their assigned signal characteristics so that data modulation of the beacons is not required for beacon identification.

The use of frequency notching in a chirped spread spectrum CSS navigation receiver to filter out the interference caused by the occupied narrow band communications channels of a cellular communications system. In the chirped spread spectrum CSS receiver, the use of signal attenuation when the chirped spread spectrum CSS signal sweeps through the occupied communications channels as a novel implementation of the frequency notch. The use of a cellular system broadcast control channels to convey knowledge of the occupied slots that they maybe notched from the receiver.

The fact that a common antenna and RF front end is applicable for both communications and navigation is a unique and novel feature of this invention.

While the invention and preferred embodiments have been shown and described, it will be appreciated that various other embodiments, modifications and adaptations of the invention will be readily apparent to those skilled in the art.

## CLAIMS

1. Positioning system for locating a mobile body comprising a plurality of earth based spread spectrum (SS) broadcasting stations arranged geographically in a cellular pattern, each said SS broadcasting station including a modulator providing a chirped SS signal structure which is substantially orthogonal with respect to adjacent stations in said cellular pattern, each channel signal including navigation beacon data, and different phase for adjacent stations.
2. The positioning system defined in claim 1 wherein each said modulator provides a chirped SS signal in which the navigation beacon is a frequency tone that is repeatedly swept over a selected frequency band and with a selected phase for each station.
3. The positioning system defined in claim 1 including receiver means for receiving the SS signals from at least three of said SS broadcasting stations and determining the location thereof.
4. The positioning system defined in claim 1 in which GPS satellite signals are received in said cellular pattern and including means at each said broadcasting station for receiving said GPS satellite signals and deriving therefrom a reference frequency signal and a time epoch signal, said modulator means

being adapted to receive said reference frequency and time epoch signals and be timed thereby.

5. The positioning system defined in claim 3 wherein a cellular telephone communication system is operated in the same frequency band as said positioning system and receiver means includes frequency notching means to filter out interference caused by occupied channels of said cellular telephone communications system.

6. The positioning system defined in claim 5 wherein said frequency notching means includes signal attenuation when the chirped spread spectrum signal sweeps through the occupied channels of said cellular telephone communications system.

7. The positioning system defined in claim 5 wherein positioning and cellular telephone communications systems in said receiver utilize the same antenna and RF front end.

1 / 6

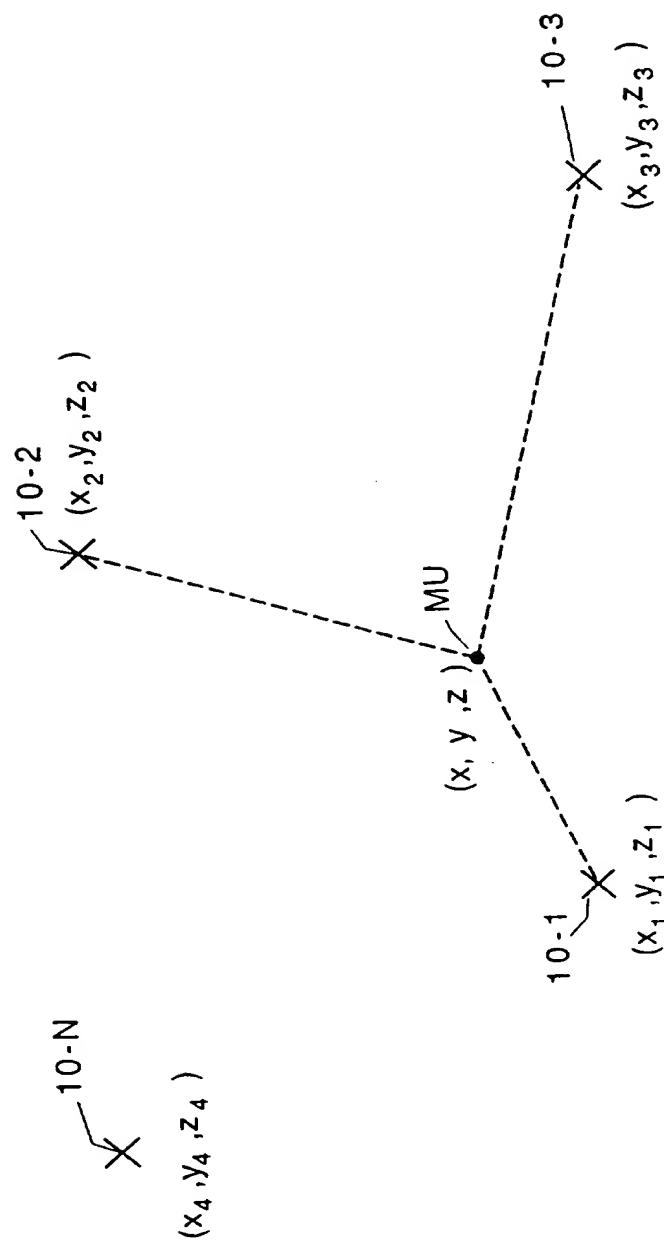


FIG. 1

2/6

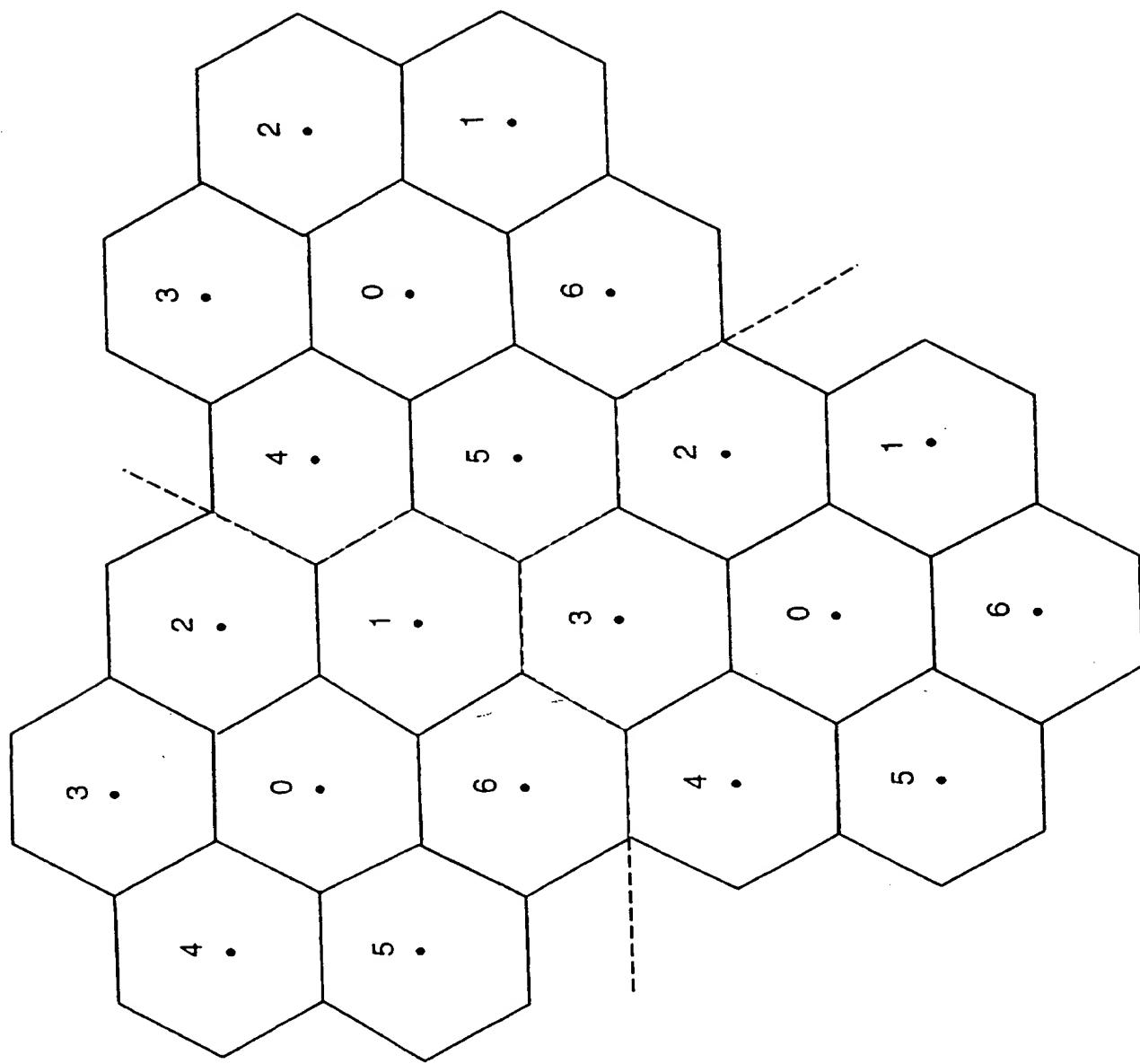


FIG. 2

3 / 6

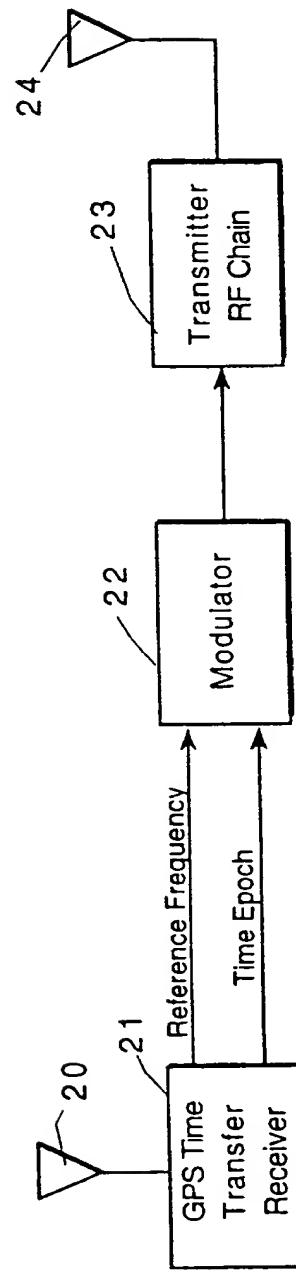


FIG. 3A

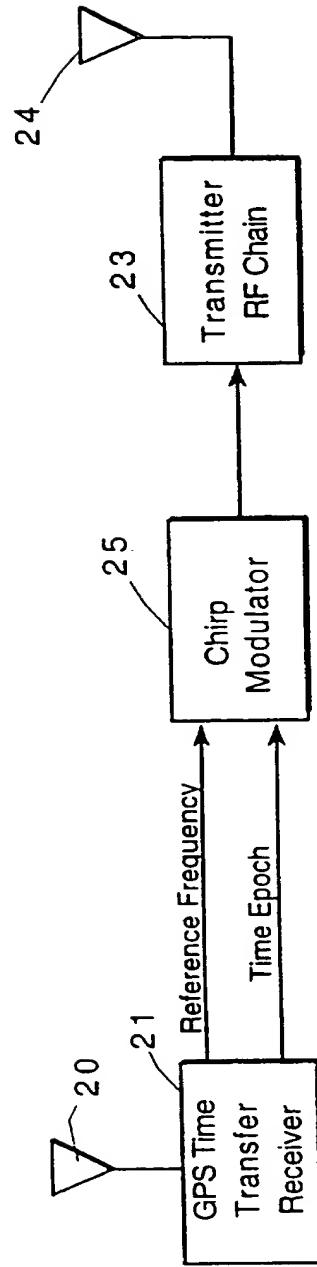


FIG. 3B

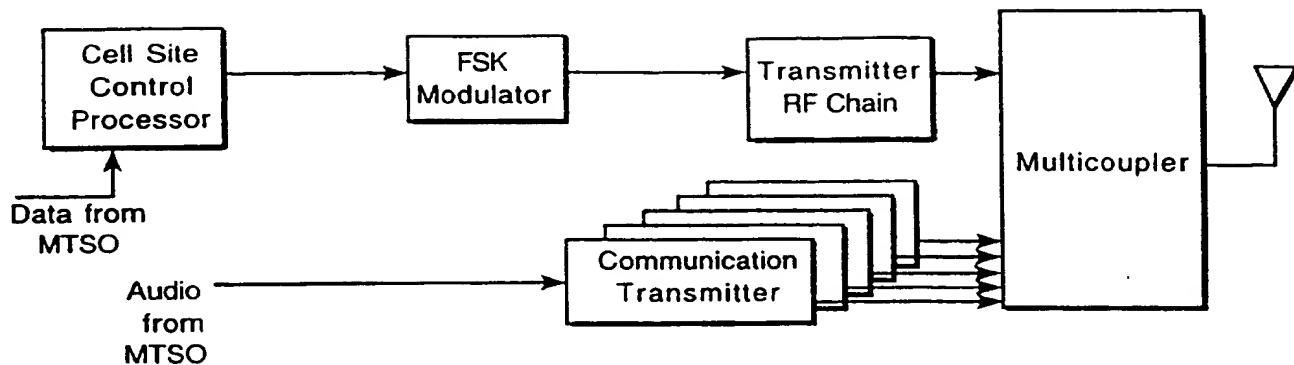


FIG. 3C

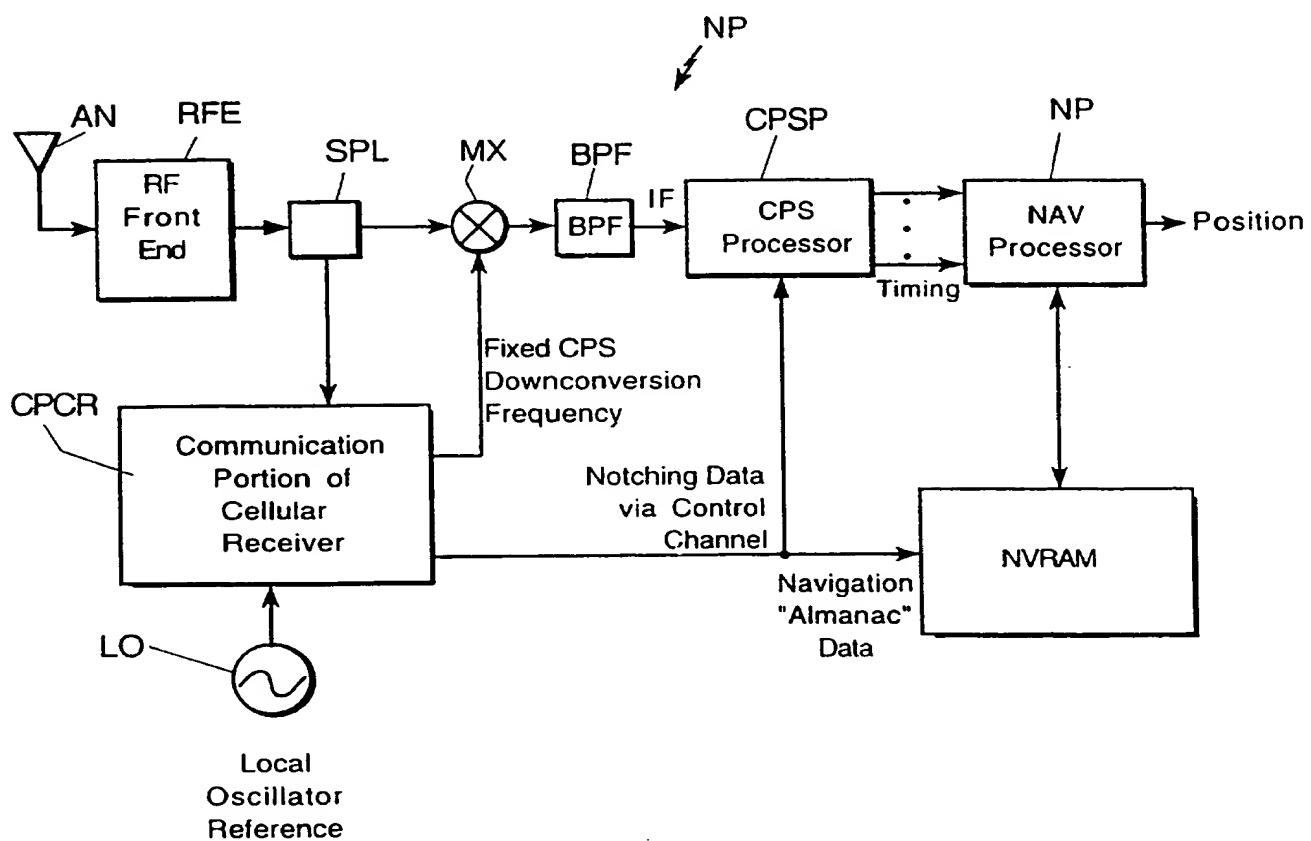
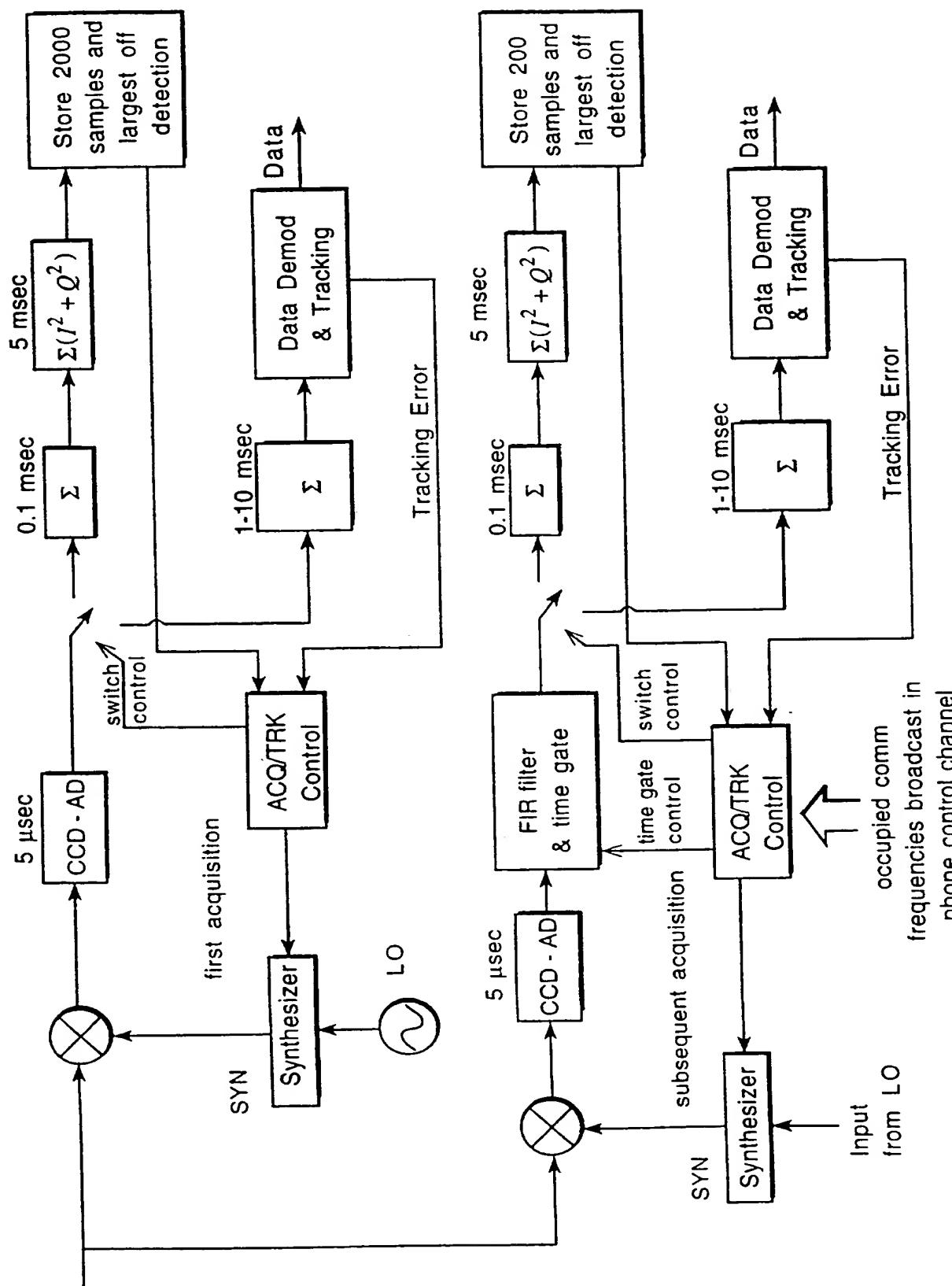


FIG. 4

5 / 6



6 / 6

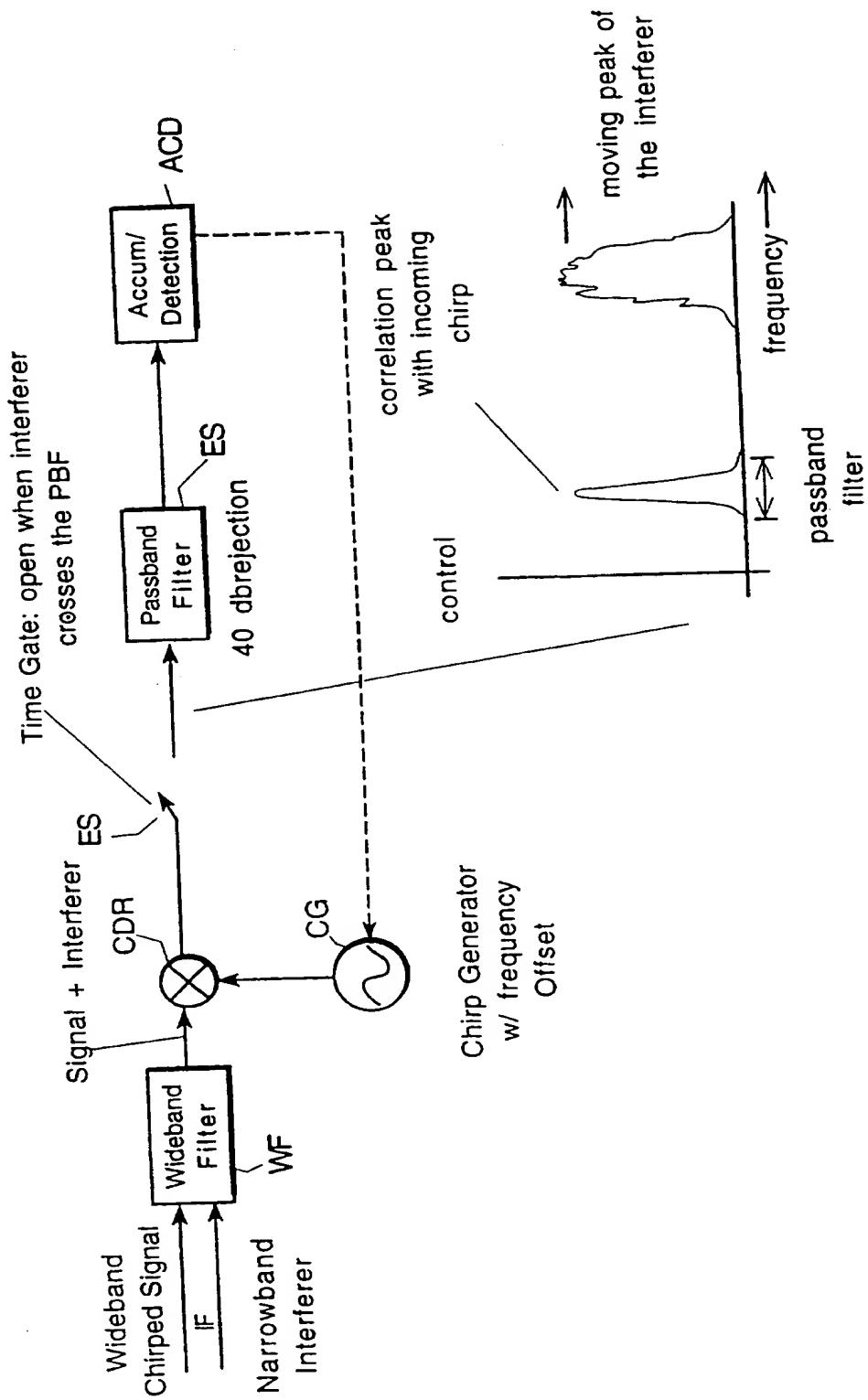


FIG. 6

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US96/02365

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :H04L 27/30

US CL : 375/204

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 375/204,200; 364/449; 342/357,450

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS search terms:chirp?spread spectrum,orthogonal, cps or cellular position? system, beacon data.

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US, A, 4,882,694 (BRUBAKER ET AL) 21 November 1989, abstract.	1-7
A	US, A, 5,043,736 (DARNELL ET AL.) 27 August 1991, abstract.	1-7

 Further documents are listed in the continuation of Box C. See patent family annex.

## \* Special categories of cited documents:

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*A\* document defining the general state of the art which is not considered to be part of particular relevance
- \*E\* earlier document published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reasons (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- \*Z\* document member of the same patent family

Date of the actual completion of the international search

21 MAY 1996

Date of mailing of the international search report

12 JUN 1996

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer  
*[Signature]*  
TEMESGHEN GHEBERTINSAE  
Telephone No. (703) 305-4777

THIS PAGE BLANK (USPTO)